



CORPORATE ACCOUNT

2024



Corporation Account Application

Before completing the form, please make sure you have read and understood all information regarding your **Point Trader Group (Mauritius) Limited** trading account, including the General Business Terms (including the Risk Disclosure Statement), the Business Terms for Securities Trading, the Business Terms for Custody Management, the Business Terms for International Transfer of Funds as well as the Conflict of Interest Policy and the Best Execution Policy.

To comply with anti-money laundering regulations **Point Trader Group (Mauritius) Limited** is required by law to obtain the following documentation. **Point Trader Group (Mauritius) Limited** also reserves the right to request further documentation at any time during the approval process.

Corporate Documentations

- Certificate of Incorporation
- Memorandum & Articles of Association
- Shareholders certificate
- Directors Certificate (EU Countries or when applicable)
- Good standing Confirmation or recent annual tax return or recent annual financial statement
- Passport copies of any individual that owns more than 25%, if any shareholder is a legal person
- Proof of address for major shareholders, and authorized signatories
- Board Resolution to establish the business with **Point Trader Group (Mauritius) Limited** and nominate the contact/in-charge person
- Passport copies for the authorized signatures
- Authorized Director/Manager Proof of Address
- Proof of Address for the company
- Legal Entity Identifier (LEI)

Please return all pages of the completed form with the above mention documentation directly to **Point Trader Group (Mauritius) Limited** by E-mail backoffice@pointfxltd.com

(Scan the form together with the requested documents)

For any questions related to the completion of this form or required documents, please contact us on the live chat on the link: www.pointfxltd.com or simply email us at E-mail: support@pointfxltd.com



Part 1 - Corporate Details

Please complete all information as accurately as possible in block capital letters with a black or blue pen.

- **Appointing Introducing Broker (if relevant):**

- **Registered Name Of The Corporate Entity:**

- **Corporate Registration Number:**

- **Current Registered Address:**

- **City/Zip Code:**

- **State of Province:**

- **Country:**

- **Postal Address (if different):**

- **City/Zip Code:**

- **State of Province:**

- **Country:**

- **Primary Phone Number:** Prefix included, example: +44 (020) 1234 5678.

- **Secondary Phone Number (optional):** Prefix included, example: +44 (020) 1234 5678.

- **Account Holder's E-mail:**

- **Chairman/President:**

- **Managing director/Vice president:**



Declaration for Tax Purposes

Only complete this section if the entity is tax liable to more/other countries than the country of the registered address stated above. Please complete the following table indicating (i) the countries, where the entity is tax liable, (ii) the tax identification Number (TIN) for each country indicated or (iii) if the country to which the entity is tax liable does not issue a (TIN) number.

Country of tax liable	TIN (x)	No TIN available (x)
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

• Authorized Signatories:

Authorized Dealers:

The following employees of the above-mentioned company are hereby authorized to execute trades on our behalf. Please note that User ID will be provided to each Dealer by **Point Trader Group (Mauritius) Limited**:

Individual's Full Name	First Time Password <small>(Min. 8 characters must contain letters & numbers)</small>	E-mail Address	Phone Number



- Legal Entity Identifier - LEI (mandatory):
-

If you are LEI eligible and a LEI code has not been supplied, tick this box to acknowledge that:

1. You understand that trading derivative contracts legally requires a LEI code.
2. although you have access via the trading platform, you have no Intentions to trade derivative contracts in **Point Trader Group (Mauritius) Limited**, and (3) if your intentions change and you wish to trade derivative contracts, at any point in the future, you will obtain and send a LEI code to **Point Trader Group (Mauritius) Limited** before doing so.

Note: LEI is a unique 20-character alphanumeric code required to trade and report donative contracts within the European Economic Area (EEA), cf. Regulation (W) no 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (EMIR). LEI codes are issued by EU endorsed Local Operating Units (LOUs). A list of endorsed LOUs.

is available at: <https://unavista.lseg.com/datasolutions/login.html>

- **On behalf of the legal Entity/Corporation:**

I/We declare that it acts in its own name as specified above and not on behalf of a third party in respect of all matters related to this client relationship and that accordingly all funds to be deposited and traded on the account with **Point Trader Group (Mauritius) Limited** are its own funds.

Part 2 - Owner Details:

Definition Of Beneficial Ownership For Corporations And Funds/Trusts:

Corporations

- Individuals, who ultimately own or

A corporation, by direct or indirect ownership or control of more than 25% of shares or voting rights.

- Individuals who otherwise exercise control over management Of a corporation.

Funds/Trusts

- Individuals who, are entitled to receive 25% or more of the distribution funds or other assets of a fund
- The group of persons in whose main interest a fund or similar legal agreements has been set up



1 Details On The Ultimate Beneficial Owners:

If there are no individuals who qualify as ultimate beneficial owner, as defined above, please tick this box

- Individual's Name:

- Address:

- Personal ID number (as shown on passport and/or other ID card attached):

- Percentage of share(s)/voting rights:

- Are these held: (Please tick one)

Directly?

Or Indirectly?

Declaration for Tax Purposes:

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Country of tax liable	TIN (x)	No TIN available (x)
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

2

- Individual's Name:

- Address:

- Personal ID number (as shown on passport and/or other ID card attached):

- Percentage of share(s)/voting rights:

- Are these held: (Please tick one)

Directly?

Or Indirectly?

Declaration for Tax Purposes:

Only complete this section if the entity is tax liable to more/other countries than the country of the registered address stated above.

Please complete the following table indicating (i) the countries, where the entity is tax liable, (ii) the Tax Identification Number (TIN) for each country indicated or iii) if the country to which the entity is tax liable does not issue a TIN number.

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	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

3

• Individual's Name:

• Address:

• Personal ID number (as shown on passport and/or other ID card attached):

• Percentage of share(s)/voting rights:

• Are these held: (Please tick one)

Directly?

Or Indirectly?

Declaration for Tax Purposes:

Only complete this section if the entity is tax liable to more/other countries than the country of the registered address stated above.

Please complete the following table indicating (i) the countries, where the entity is tax liable, (ii) the Tax Identification Number (TIN) for each country indicated or iii) if the country to which the entity is tax liable does not issue a TIN number.

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	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>



Part 3 - Client Classification

Point Trader Group (Mauritius) Limited is under an obligation to segment and classify the bank's clients into three categories: Retail Professional and Eligible Counterparty.

All client will, as a point of departure, be classified as Retail Clients, in order to grant them the highest level of regulatory protection.

However, if you feel that this initial classification does not reflect your current level of knowledge and experience in certain (or the range of) investment and hedge products, you may select another account classification.

Part 4 - Choice of Account Currency

- Choice of Account Currency:
-

Part 5 - Purpose and Scope of Client Relationship

- All fields are mandatory.

In order to comply with FATF and EU regulations on Anti Money Laundering, all credit institutions in the EU must obtain information about the purpose and scope of the client relationship.

What is your purpose for applying for an account at Point Trader Group (Mauritius) Limited?

- 1. Capital preservation / hedging (minimize the potential for any loss of principal)
- 2. Growth (increase Investment value over time while accepting price fluctuations)
- 3. Speculation (assume the highest degree of risk for potentially higher returns)

What type of instruments would you be interested in trading?

- | | |
|--|--|
| <input type="checkbox"/> 1. FX Spot | <input type="checkbox"/> 6. Stocks |
| <input type="checkbox"/> 2. FX Forward | <input type="checkbox"/> 7. CFD |
| <input type="checkbox"/> 3. FX options | <input type="checkbox"/> 8. Bonds |
| <input type="checkbox"/> 4. Futures | <input type="checkbox"/> 9. Managed Funds |
| <input type="checkbox"/> 5. Contract Options | <input type="checkbox"/> 10. Stock Options |



What is approximately your level of investable assets?

- | | |
|--|---|
| <input type="checkbox"/> 1. (<USD 5,000) | <input type="checkbox"/> 4. (500,000 - 1,500,000) |
| <input type="checkbox"/> 2. (5,000 - 50,000) | <input type="checkbox"/> 5. (1,500,000 - 5,000,000) |
| <input type="checkbox"/> 3. (50,000 - 500,000) | <input type="checkbox"/> 6. (>5,000,000) |

How much do you intend to invest with Point Trader monthly basis?

- | | |
|--|--|
| <input type="checkbox"/> 1. (500 - 5,000) | <input type="checkbox"/> 2. (5,000 - 50,000) |
| <input type="checkbox"/> 3. (50,000 - 100,000) | <input type="checkbox"/> 4. (+ 50,000) |

How many trades do you typically place on a Group?

- | | |
|---------------------------------------|--|
| <input type="checkbox"/> 1. (0 - 5) | <input type="checkbox"/> 2. (5 - 10) |
| <input type="checkbox"/> 3. (10 - 50) | <input type="checkbox"/> 4. (50 - 100) |
| <input type="checkbox"/> 5. (>100) | |

Risk Disclosure (Mandatory)

In order to comply with EU regulations, **Point Trader Group (Mauritius) Limited** provides you with the Risk Disclosure statement on complex products below.

Information about the characteristics of certain complex products and the risks associated with them

Below is an overall description of the characteristics of certain complex products and their markets and of the risks associated with these products. Further information is available on the last pages of the General Business Terms of **Point Trader Group (Mauritius) Limited A/S** and on www.pointfxltd.com under the section Education.

Trading in financial products always involves a risk. As a general rule, you should therefore only trade in financial products if you understand the products and the risks associated with them.

Foreign exchange trading (FOREX)

When trading in foreign exchange, the investor speculates in the development of the price of one currency relative to another, where one is sold and the other is purchased. By way of example, an investor may sell British pounds (GBP) against the US dollar (USD) if he expects that the USD will increase relative to the GBP.

Foreign exchange is traded as a margin product, which means that you can invest more money than is available in your account by borrowing money from **Point Trader Group (Mauritius) Limited**. Foreign exchange may be traded as FX Spot, FX Forward or FX Options. FX Spot is the purchase of one currency against the sale of another for immediate delivery. FX Forward and FX Options transactions are settled on an agreed date in the future at prices which are agreed on the date of the transaction. FX Forward trading involves an obligation to make the transaction at the agreed price on the settlement date.



A purchaser of FX Options has a right to make a transaction in the underlying FX Spot currency pair on the expiry date if the price is more favorable than the market price at this time. On the other hand, a seller of options has an obligation to enter into a transaction with the purchaser on the settlement date if requested by the purchaser. Purchased options therefore involve a limited risk in the form of premium which is payable when the contract is made, while options that have been sold involve an unlimited risk in the form of changes to the price of the underlying FX Spot currency pair.

The currency exchange market is the world's largest financial market with 24 hour trading all working days. It is characterized, among other things, by a relatively low profit margin compared to other products. A high profit is therefore subject to a large trading volume, which is achieved for instance by margin trading as described above. When trading in foreign exchange, a gain net of costs, such as commission and spread, realized by one market player will always be offset by another player's loss. Foreign exchange transactions are always made with **Point Trader Group (Mauritius) Limited** as counterparty this implies that any position opened with **Point Trader Group (Mauritius) Limited** can only be closed with **Point Trader Group (Mauritius) Limited**. Overall, OTC transactions may involve greater risk compared to for example trading in securities like shares due to the fact that in OTC transactions there is no central counterparty and either party to the transaction bears certain credit risk and risk of default on the other party. **Point Trader Group (Mauritius) Limited** quotes prices on the basis of the prices that can be obtained in the market. However, this does not necessarily mean that your gain or loss is offset by a loss or gain on the part of **Point Trader Group (Mauritius) Limited** as **Point Trader Group (Mauritius) Limited** seeks to hedge its risks with other counterparties.

As foreign exchange is margin traded, allowing you to take a larger position than you would otherwise be able to base on your funds with **Point Trader Group (Mauritius) Limited**, a relatively small negative or positive market movement can have a disproportionately significant effect on your investment. This can be both advantageous and disadvantageous to you. This makes the potential gain quite high, even if the deposit is relatively small but also a significant loss if the trade goes against you. If your total exposure on margin trades exceeds your deposit, you risk losing more than your deposit.

CFDs

A CFD or Contract for Difference - is speculation in changes in values. The product allows you to speculate in future increases or decreases, in the value of a specific asset, amongst others, forex, precious metals, indexes and shares however it should be noted that no physical delivery of the underlying asset will occur. If your speculations prove to be correct, you will make a profit from the difference in value (less costs), but you will have to pay the difference in value (plus costs) if your speculations turn out to be wrong. Being tied to underlying asset, the value of a CFD depends on that asset. CFDs are always margin traded.

Futures

Futures trading involves speculating on the price of a specific underlying asset going up or down in the future. A future gives the holder a standardized obligation to either buy or sell the underlying asset at a specified price at a certain date in the future. The underlying asset may, for instance, be raw materials, agricultural produce or financial products. Depending on the nature of the future, the asset either has to be settled for the price difference or by actual delivery at the settlement date however it should be noted that no physical delivery of the underlying asset will occur. Futures are always traded on margin (see "Foreign exchange trading" above). Futures are always traded in a regulated market, either by direct trading in the stock exchanges' trading systems, or by reporting of transactions.

As futures are margin traded, allowing you to take a larger position than you would otherwise be able to base on your funds with **Point Trader Group (Mauritius) Limited**, a relatively small negative or positive market movement can have a significant effect on your investment. Futures trading therefore involves a relatively high degree of risk. This makes the potential gain quite high, even if the deposit is relatively small, but also a significant loss if the trade goes against you. If your total exposure on margin trades exceeds your deposit, you risk losing more than your deposit.

Contract Options

Option trading is highly speculative and is not suitable for all investors due to the risks involved. Buyers and sellers of Contract Options should familiarize themselves with the type of option (i.e. put or call, bought or sold) they intend to trade and the associated risks. Contract Options are traded with **Point Trader Group (Mauritius) Limited** as counterparty to the trades this implies that any position opened with **Point Trader Group (Mauritius) Limited** can only be closed with **Point Trader Group (Mauritius) Limited**.



A Contract Option gives you the right or the obligation to either buy or sell a specified amount or value of a particular underlying asset at a fixed exercise price, by the option being exercised either before or on its specified expiration date. A Contract Option which gives you the right to buy or the obligation to sell is a call option and a Contract Option that gives you the right to sell or the obligation to buy is a put option.

A Contract Option that is in the money on expiry will always be exercised.

Trading Contract Options involves a high level of risk. Contract Options that gives you the right to either sell or buy an underlying asset (bought Contract Options) might expire worthless and your initial investment (i.e. premium and transaction costs) will be lost. Contract Options that gives you the obligation to either sell or buy an underlying asset (sold Contract Options) can result in substantial (potentially unlimited) losses. To assure you will be able to cover losses on sold Contract Options **Point Trader Group (Mauritius) Limited** will require margin charges.

Nonetheless, potential losses can exceed the margin charged and you will be liable for these losses.

If your total exposure on margin trades exceeds your deposit, you risk losing more than your deposit. If the underlying asset of a Contract Option is a margin traded product (i.e. a derivative), and if the Contract Option is being exercised by the buyer, then the buyer (in case of a call option) or the seller (in case of a put option) of the Contract Option will acquire a position in the underlying margin traded product with associated risks as well as liabilities to provide margin.

Mandatory

I/We hereby declare that I/we have read and understood the Risk Disclosure statement on complex products.

Part 6 - Client Declaration

I/We declare by my/our signature(s):

- To have read, understood and agreed to the General Business Terms (including the Risk Disclosure Statement), the Business Terms for Securities Trading, the Business Terms for Custody Management, the Business Terms for International Transfer of Funds, Terms of Use, the Commissions, Charges & Margin Schedule and all of their contents;
- To understand and accept that the General Business Terms (including the Risk Disclosure Statement), the Business Terms for Securities Trading, the Business Terms for Custody Management, the Business Terms for International Transfer of Funds, the Commissions, Charges & Margin Schedule as well as the Conflict of Interest Policy and the Best Execution Policy and any other relevant terms and conditions (as amended from time to time) apply to my/our entire trading relationship with **Point Trader Group (Mauritius) Limited**.
- To have received, read and understood the product information material relating to the relevant products;
- To have received additional in-depth verbal information about the products and the inherent possibilities and risks if so requested;
- To have received satisfactory answers to all my/our questions regarding the terms, conditions and other issues relating to the relevant products.

I/We acknowledge and agree that personal information submitted by me/us to **Point Trader Group (Mauritius) Limited**:

- May be shared with any Introducing Broker for the purpose of completing the due diligence and approving my/our application in case I have appointed such Introducing Broker.
- May be shared with a third party agency working on behalf of **Point Trader Group (Mauritius) Limited** with the purpose of performing client analysis for the use of **Point Trader Group (Mauritius) Limited** sale and marketing.



May be used to undertake a search with a 3rd party authentication service provider for the purposes of verifying my identity. To do so the 3rd party authentication service provider may check the details I/we supply against any particulars on any database (public or otherwise) to which they have access. They may also use my/our details in the future to assist other companies for verification purposes. A record of the search will be retained.

Furthermore I/we confirm:

- That the information provided by me/us and inserted in this form is correct and that I/we acknowledge that I/we shall be obliged to inform **Point Trader Group (Mauritius) Limited** immediately in case of any changes to this information;
- That the investment amount has been chosen by me/us taking our total financial circumstances into consideration and is by me/us considered reasonable under such circumstances;
- That I/we acknowledge that **Point Trader Group (Mauritius) Limited** is only able to provide advice to such effect, provided that I/we have supplied **Point Trader Group (Mauritius) Limited** with correct and adequate information in this Client Application Form or as otherwise requested by **Point Trader Group (Mauritius) Limited**.

By signing this form I/we consent to the below disclosure:

- My/Our personal information, including my/our name, personal identification number, address, etc., as well as client information, including account information, entries, investments, etc., submitted by me/us when registering as a client with **Point Trader Group (Mauritius) Limited** or later or which otherwise comes into **Point Trader Group (Mauritius) Limited** possession, may be disclosed to other companies within the **Point Trader Group (Mauritius) Limited**.
- Some companies of the **Point Trader Group (Mauritius) Limited** are located in countries where data protection laws may not provide an equivalent level of protection to the laws of England and Wales.
- The information may be disclosed for the purposes of meeting regulatory requirements (incl. in pursuance of the Danish Act on Measures to Prevent Money Laundering), conducting risk management, providing and executing investment advice, investment services and other services offered by the **Point Trader Group (Mauritius) Limited**, marketing and administering my client relationship.

That companies in the **Point Trader Group (Mauritius) Limited** may provide me/us with marketing material regarding investments and related services, including new products and services offered by the **Point Trader Group (Mauritius) Limited**, and newsletters, market updates, and investment opportunities by email, SMS, regular mail, or telephone.

Please note that all information supplied is kept confidential in accordance with **The England and Wales Financial Services Act**.

Date:

Signature:

Printed Name:
