



DECLARATION SOURCE OF FUNDS

2026



1. Please state whether:

(i) You have had a change of name:

Yes No

If yes, please provide us with your former name together with supporting evidence (e.g. Passport Copy, ID Proof or other acceptable identification documents):

(ii) You formerly had registered trade name(s):

Yes No

If yes, please provide us with ALL your business/trading names during the last 7 years:

2. Please indicate whether if you conduct/carry out financial services business outside Mauritius, you have applied for such a licence in another country or you are regulated in other jurisdiction(s).

Yes No

If yes, please specify the details relating to the nature of the business activity, name & physical address from which you conduct/carry out/intend to perform operations:

3. Are you, through any previous or current occupation, employment or otherwise, precluded in any way from carrying on (fully or partially) the services which the company intends to offer?

Yes No

If yes, please give full particulars:

4. Have you at any time been convicted of any offence by any court? If so, give full particulars of the court by which you were convicted, the offence and the penalty imposed and the date of conviction. (Road Traffic offences should not be listed).

Yes No

If yes, please give full particulars:

5. Have you or any entity with which you are or have been associated, whether in Mauritius or not:

- a. been the subject of any punitive action,
- b. been refused a licence or equivalent authorisation to carry on a business activity,
- c. have had a licence or equivalent authorisation to carry on a business activity revoked,



d. been censured, disciplined or publicly criticized or adversely commented upon,

e. is, or has been the subject of any investigation by any Court of Law, Regulatory Authority, officially appointed enquiry or by any professional body, or other public institutions to which you belong or have belonged?

Yes No

If yes, please give full particulars:

6. Have you ever been adjudicated bankrupt by any Court or compulsory winding up or entered into a compromise or arrangement with creditors?

Yes No

If yes, please give full particulars:

7. Has any entity with which you have been associated been compulsorily wound up or made any compromise or arrangement with its creditors or ceased trading?

Yes No

If yes, please give full particulars:

8. Are you engaged in any personal litigation with respect to the management of any business entity?

Yes No

If yes, please give full particulars:

9. Have you ever been engaged in any civil proceedings or arbitration subject to any regulatory supervision (during the last 7 years) in respect of any activity?

Yes No

If yes, please state the Regulator's full name and address and give full particulars:



Source of funds for your proposed investment

In case you shall be investing funds in the entity & be a shareholder/beneficial owner thereof, please select the applicable source of funds below and provide appropriate details.

"I confirm that the assets transferred now or at any time in the future to the proposed entity are/will not be derived from or otherwise be connected with any activity which is illegal or unlawful, either in their country of origin or in the location in which the proposed entity is established. I further confirm that the transfer of assets to the proposed entity is not in breach of any money laundering regulations and laws applicable to the Republic of Mauritius, including but not limited to the Financial Intelligence and Anti-Money Laundering Act 2002, the Prevention of Corruption Act 2002 and the Prevention of Terrorism Act 2002."

Source of funds	St.	Please provide appropriate details
Private Funds/Savings		
Business Income		
Loan		
Others		

Undertaking of client

I/We Confirm

- a) that, if a licence is granted, I/we shall conduct only that business for which it is licensed - unless otherwise authorised by the FSC;
- b) that the I/We shall comply with the laws of Mauritius and with the laws of the jurisdiction where the "Global Business" will be carried out and in particular will not undertake any licensable activity anywhere without the appropriate authorization;
- c) that the I/We shall comply with and be bound by all the terms of the standard licence conditions for financial services (as may be listed in any Guidelines or other document as may be issued by the FSC from time to time) and/or any other special terms and conditions attached to the licence;
- d) that the I/We shall notify Legacy Capital Co Ltd of any further information which is relevant to the Application and of any material changes in the information forming part of this Application; (or its supporting documents) which comes to the Applicant's attention during the period in which this Application is being considered or at any time thereafter.

I, the undersigned, hereby confirm that, to the best of my knowledge, the details and information included in the above sheet are accurate and complete. I understand that it is my responsibility and undertake to advise Legacy Capital Co Ltd of any material change that occurs at any time after this form is submitted and until such time as I am no longer connected with the entity.

DONE IN GOOD FAITH, AFTER DUE CONSIDERATION.

Full Name As Per Passport:

Signature:

Date: